

MATHEMATICAL LOGIC. — *Reversible Turing machines. Recursive insolubility in  $n \in \mathbf{N}$  of the equation  $u = \theta^n u$ , where  $\theta$  is an “isomorphism of codes.”* Note (\*) by Mr. Yves Lecerf, presented by Mr. André Lichnerowicz.

We define “reversible Turing machines” and “isomorphisms of codes  $\theta$ .” Their properties make it possible to prove that the equation in  $n \in \mathbf{N}$ ,  $u = \theta^n u$  is recursively unsolvable. A second note will apply this to the demonstration of a conjecture of Schützenberger relating the Post correspondence problem to the problem of diagonalization of homomorphisms of free monoids.

1. ISOMORPHISMS OF CODES, EPIMORPHISMS OF CODES. — *a. A conjecture of Schützenberger.* — Given two nontrivial free monoids  $A^\dagger$  and  $S^\dagger$ , and given two homomorphisms  $\varphi$  and  $\psi$  of  $A^\dagger$  into  $S^\dagger$ , consider the problem of the search for nontrivial solutions  $x \in A^\dagger$  for the equation of diagonalization  $\varphi x = \psi x$ . A result of Post <sup>(6)</sup> is that this equation is recursively unsolvable in the case of  $\varphi$  and  $\psi$  being arbitrary homomorphisms. It is also so when one restricts  $\varphi$  to be a monomorphism; indeed, Chomsky and Schützenberger remarked <sup>(1)</sup> that this case can be reduced to Post’s Tag-problem <sup>(5)</sup>, itself recursively unsolvable according to a result of Minsky <sup>(3)</sup>. Schützenberger conjectured that the equation  $\varphi x = \psi x$  remains still recursively unsolvable when  $\varphi$  and  $\psi$  are both monomorphisms.

*b. Isomorphisms of codes.* — Instead of  $\varphi x = \psi x$ , it is equivalent to consider the equation  $w = \theta w$ , where  $\theta = \psi\varphi^{-1}$  (this is shorthand notation for saying that  $\theta$  is a bijection of  $\varphi A^\dagger$  into  $\psi A^\dagger$  defined by  $\theta w = \psi x$  for  $w = \varphi x$ ). For convenience, we will call the applications such as  $\theta$  “isomorphisms of codes.” The term recalls that  $\theta(w_1 w_2) = \theta w_1 \theta w_2$ ; and also that,  $A = \{a_i\}_{i \in I}$  designating the alphabet (generators) of  $A^\dagger$ ,  $\{\varphi a_i\}_{i \in I}$  and  $\{\psi a_i\}_{i \in I}$  are called “codes” on  $S^\dagger$ , because, for an arbitrary  $y$  in  $S^\dagger$ , there exists a set of indices  $\{i_1, i_2, \dots, i_p\}$  such that  $y = \varphi a_{i_1} \varphi a_{i_2} \dots \varphi a_{i_p}$ , and the same for  $\psi$ . In fact, it is especially the study of isomorphisms of codes to which will be devoted the present Note and the following one.

*c. Definitions of particular “isomorphisms of codes” using relation elements.* — With  $e_A$  and  $e_S$  designating the identity elements respectively of  $A^\dagger$  and  $S^\dagger$ , it goes without saying implicitly for every  $\theta$  that one has  $e_S = \theta e_S$ , with  $\varphi e_A = \psi e_A = e_S$  (whenceforth a trivial solution for  $w = \theta w$  and for  $w = \theta^n w$ , with  $n \in \mathbf{N}$ ). This being the case, each particular isomorphism of codes could be defined by a set of relation elements of the type  $\{m_{i,\varphi} \rightarrow m_{i,\psi}\}_{i \in I}$ , provided that  $\{m_{i,\varphi}\}_{i \in I}$  and  $\{m_{i,\psi}\}_{i \in I}$  are “codes” and that the correspondence is bijective. Indeed,  $A^\dagger$  is implicitly defined by  $I$ , and  $S^\dagger$  by the symbols used to note the  $m_{i,\varphi}$  and  $m_{i,\psi}$ ; and one can interpret the relations like correspondences  $\{\varphi a_i \rightarrow \psi a_i\}_{i \in I}$ .

d. *Checking whether a given set of words is a code.* — Further, the following property will be often called upon: If  $C$  and  $K_r$  designate respectively a code and a right prefix-code on  $S^\dagger$ , and if  $\alpha$  is a symbol (generator of  $S^\dagger$ ) not appearing in  $C$  nor in  $K_r$ , then, the set  $C \cup \alpha K_r$  is a code. In the same way, replacing  $K_r$  by a left prefix-code  $K_\ell$ , the set  $C \cup K_\ell \alpha$  is a code. Let us recall that any right prefix-code  $K_r$  is by definition <sup>(4)</sup> such that, if  $m_i, m_j \in K_r$  and if, with  $y \in S^\dagger$ , one has  $m_i = m_j y$ , then  $y = e_S$  (while for the left prefix-codes, it is  $m_i = y m_j$  which imposes  $y = e_S$ ).

e. *Epimorphisms of codes.* — One speaks about “epimorphisms of codes”  $\tau$  in the case of relations  $\{m_{i,\varphi} \rightarrow m_{i,\psi}\}_{i \in I}$ , where  $\{m_{i,\varphi}\}_{i \in I}$  is a complete code  $C_\varphi$ , but where  $\{m_{i,\psi}\}_{i \in I}$  is only constrained not to contain words other than those of a code  $C_\psi$ .

2. REVERSIBLE TURING MACHINES. — Let MT be a Turing machine of which  $\{\varepsilon_p\}_{p \in P}$  and  $\{\sigma_q\}_{q \in Q}$  are the sets of states and symbols, and  $\{\delta_r\}_{r \in R}$  are tape displacements, which can be  $\pm 1$  or  $0$ . One can define MT by a set of quintuples

$$\chi_{MT} = \{\varepsilon_{p_1(i)}; \sigma_{q_1(i)}; \varepsilon_{p_2(i)}; \sigma_{q_2(i)}; \delta_{r(i)}\}_{i \in I},$$

where the indices  $p_1, p_2, q_1, q_2, r$  are functions of index  $i$ . With each of the quintuples, let us decide to associate an “inverse image quintuple”  $(\varepsilon_{p_2(i)}^*; \sigma_{q_2(i)}; \varepsilon_{p_1(i)}^*; \sigma_{q_1(i)}; -\delta_{r(i)})$ . The set of those will generally not constitute a Turing machine; but when it does, we will say that MT is “reversible,” and call the new machine the inverse image  $MT^*$  of MT. The  $\varepsilon_p^*$  will be known as the images of  $\varepsilon_p$ . The substitution of  $\varepsilon_p^*$  for  $\varepsilon_p$  in an instantaneous configuration  $U_k$  will be known as transformation of  $U_k$  to its image configuration  $U_k^*$ . The continuations of configurations of  $MT^*$  are images of those of MT, but  $MT^*$  traverses them in the opposite order. Now let us consider the machine  $R(MT)$ , whose set of quintuples is

$$\chi_{R(MT)} = \chi_{MT} \cup \chi_{MT}^* \cup \{(\varepsilon_p; \sigma_q)_{\text{halt}}; \varepsilon_p^*; \sigma_q; 0\},$$

where  $(\varepsilon_p; \sigma_q)_{\text{halt}}$  designates any state-symbol pair for which MT halts. If one starts MT and  $R(MT)$  from the same instantaneous configuration  $U_0$ , they pass through the same configurations as long as MT does not halt (thus possibly indefinitely). When MT halts,  $R(MT)$  continues, traversing in the opposite order the image configurations of the traversed configurations, and passes by the image of the initial configuration.  $R(MT)$  will be known as the coupling of MT with its reverse image.

3. REPRESENTATION OF TURING MACHINES BY EPIMORPHISMS (OR ISOMORPHISMS) OF CODES. — Let us be given an arbitrary MT. With each quintuple having movement  $+1$ , that is to say for example  $(\varepsilon_g, \sigma_h, \varepsilon_j, \sigma_k, 1)$ , we associate three relation elements, namely:  $\{\alpha_g \sigma_h \rightarrow \sigma_k \alpha_j; \omega_g \sigma_h \rightarrow \sigma_k \alpha_j; \sigma_h \beta_g \rightarrow \sigma_k \alpha_j\}$ . With  $(\varepsilon_g, \sigma_h, \varepsilon_j, \sigma_k, 0)$ , we associate:  $\{\alpha_g \sigma_h \rightarrow \omega_j \sigma_k; \omega_g \sigma_h \rightarrow \omega_j \sigma_k; \sigma_h \beta_g \rightarrow \omega_j \sigma_k\}$ . With  $(\varepsilon_g, \sigma_h, \varepsilon_j, \sigma_k, -1)$ , we associate  $\{\alpha_g \sigma_h \rightarrow \beta_j \sigma_k; \omega_g \sigma_h \rightarrow \beta_j \sigma_k; \sigma_h \beta_g \rightarrow \beta_j \sigma_k\}$ . Finally, with any symbol  $\sigma_q$  of MT, we associate  $\sigma_q \rightarrow \sigma_q$ . One can check, by the process given in paragraph 1d, that the set of these relations defines an epimorphism of codes. With  $\tau_{\max}$  being this set,  $\tau_{\max}$  is a representation of MT, because it defines its alphabet and quintuples. We can, in addition, find, for the instantaneous configurations of MT, notations such that for any pair of successive configurations  $u_i, u_{i+1}$

we have  $u_{i+1} = \tau_{\max}u_i$ . For that, a configuration will be composed of a succession of symbols  $\sigma$  (the string on the tape) into which one will intercalate one of the letters  $\alpha$ ,  $\omega$  or  $\beta$ , with an index  $p$  equal to that of the state  $\varepsilon_p$  of the machine, and indicating, not only the position  $\pi_1$  of the next symbol to read, but also the position  $\pi_2$  of the symbol previously written (with a particular convention for the initial configuration). An  $\alpha_p$  signifies that  $\pi_1$  is the first symbol to its right,  $\pi_2$  the first on its left. A  $\beta_p$ , vice-versa. An  $\omega_p$  means that  $\pi_1$  and  $\pi_2$  are both the first symbol to the right of the  $\omega_p$ . We have then achieved that  $u_{i+1} = \tau_{\max}u_i$ . So certain states  $\varepsilon_p$  can appear under only two or one of the forms  $\alpha_p$ ,  $\omega_p$ ,  $\beta_p$ , and  $\tau_{\min}$  is obtained by removing from  $\tau_{\max}$  all the relation elements containing the forms which never appear, so  $\tau_{\min}$  is still such that  $u_{i+1} = \tau_{\min}u_i$ . If  $\tau_{\min}$  is an isomorphism of codes, MT is reversible.

4. SIMULATION OF ARBITRARY MT ON REVERSIBLE MT'. APPLICATION TO ISOMORPHISMS OF CODES. — *a. Properties.* — One can simulate an arbitrary Turing machine MT (with configurations  $v_i$ ) on a reversible Turing machine  $MT_\rho$  (with configurations  $u_{i,j}$ ) so that: (1) when MT passes from  $v_i$  to  $v_{i+1}$ ,  $MT_\rho$  passes from  $u_{i,0}$  to  $u_{i+1,0}$  via the intermediary of a finite number of configurations  $u_{i,1}; u_{i,2}; \dots$ ; (2) we pass from one  $v_i$  to the next via an epimorphism of codes  $\tau$ , and from one  $u_{i,j}$  to the next via an isomorphism of codes  $\theta$ ; (3) if the initial configurations are  $v_0$  for MT and  $u_{0,0}$  for  $MT_\rho$ , with  $u_{0,0} = \lambda v_0 \mu \nu$ , then for any  $i$ , one has  $u_{i,0} = \lambda v_i \mu w_i \nu$ , where  $w_i$  is a string, and where  $\lambda, \mu, \nu$  are three symbols which appear neither in  $v_i$  nor in  $w_i$ , so that knowing  $u_{i,0}$  gives  $v_i$  and  $w_i$ ; (4) there are symbols  $r_k$  of which each one represents a relation element of  $\tau$  other than that of identity; a blank symbol  $b$ ; and for any  $i$  we have  $w_i = b^2 r_{k_1} r_{k_2} \dots r_{k_i} b$ , where  $r_{k_p}$  is the relation invoked by  $v_p = \tau v_{p-1}$ . Thus,  $w_i$  represents the history of the computation of MT until time  $i$ ; (5)  $MT_\rho$  halts on the  $u_{i,0}$  corresponding to the halting of MT, and them only; (6) the machine  $R(MT_\rho)$ , coupling  $MT_\rho$  with its reverse image, starting from  $u_{0,0} = \lambda v_0 \mu \nu$  passes through the image configuration  $\lambda v_0^* \mu \nu$  if and only if MT, starting from  $v_0$ , halts; (7) there exists for  $R(MT_\rho)$  certain instantaneous configurations  $u_{s,t}$  such that, when started at  $\lambda v_0 \mu \nu$ ,  $R(MT_\rho)$  cannot reach those configurations other than by passing through  $\lambda v_0^* \mu \nu$  (*i.e.*, if MT, starting from  $v_0$ , halts). One can thus arrange that the return of  $R(MT_\rho)$  to  $\lambda v_0 \mu \nu$  (or the passage through  $u_{st}$  framed by  $\lambda' \nu'$  instead of  $\lambda \nu$ ) is conditional on the halting of MT.

*Proof.* — It is shown how to proceed from  $\tau$ , presumed to be given by a set of relation elements  $\{I_{k,\tau}\}_{k \in K_\tau}$  to the set of relation elements  $\{I_{j,\theta}\}_{j \in J_\theta}$  defining  $\theta$  and  $MT_\rho$ . We delimit the principles of this construction, by showing how to simulate an  $I_{k_i,\tau}$  of the form  $\alpha_p \sigma_q \rightarrow \sigma_f \alpha_g$ . With this, we associate: an instruction  $\alpha_p \sigma_q \rightarrow \sigma_{f,g,\alpha} \varepsilon_{\alpha,\alpha,p,q,f,g,\sigma}$ , where the symbol  $\sigma_{f,g,\alpha}$  marks the place where one must modify  $v_i$ , and the nature of the modification; instructions allowing control to be lead to the left from  $\nu$  through a state  $\varepsilon_{\alpha\alpha p q f g \nu}$ ; an instruction  $b \varepsilon_{\alpha\alpha p q f g \nu} \rightarrow \varepsilon_s r_{k_i}$ , where  $r_{k_i}$  represents  $I_{k_i,\tau}$ , supplementing  $w_i$ ; working instructions moving  $\nu$  and possibly also  $\lambda, \mu$  and the entire  $w_i$ , to restore the necessary blanks in  $u_{i,0}$  and then defer control in  $\sigma_{f,g,\alpha}$  with a state  $\varepsilon_\sigma$ ; an instruction  $\sigma_{f,g,\alpha} \varepsilon_\sigma \rightarrow \sigma_f \alpha_g$  which supplements  $v_i$  in  $u_{i,0}$ .

*b. THEOREM 1.* — *The halting problem for a general reversible Turing machine is undecidable. Similarly for the problem of returning to the initial configuration, and*

that of the passage through a given configuration other than the initial configuration.

c. THEOREM 2. — The equation  $w = \theta^n w$ , where  $\theta$  is an isomorphism of codes, with  $n \in \mathbf{N}$  is recursively unsolvable in  $n$  given arbitrary  $w, \theta$ . The equation  $w_1 = \theta^n w_2$ , with  $w_1 \neq w_2$ , is also recursively unsolvable in  $n$ .

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